

AUDIT AND GOVERNANCE COMMITTEE

PROGRESS WITH ACTIONS AGREED OR REPORTED AT THE MEETING ON 31ST JANUARY 2013

REPORTED FOR INFORMATION ONLY

ACTION	PROGRESS
<p>MINUTE 33</p> <p>ACTION PLAN ARISING FROM INTERNAL AUDIT REPORT INTO WASTE TRANSFER STATION (LYME GREEN)</p> <ul style="list-style-type: none">▪ With regard to Action C6, it was suggested that the action wording was ambiguous and should be reviewed.▪ The officers indicated that Action C5 relating to the monitoring of project costs would be offered to the relevant PDG for consideration.▪ The Interim Chief Executive was preparing a report to Cabinet summarising the findings arising from the confidential report of the Dedicated Independent Person (DIP), and proposing sweeping changes to management roles and responsibilities in order to address cultural and behavioural aspects of the organisation.▪ Officers were looking at whether there were any governance issues arising from the DIP's report.▪ An update report on programme and project management would be submitted to the next meeting.	<p>This is accepted and there is a report on the agenda re developments in programme and project management and other compliance issues</p> <p>This has been actioned</p> <p>The management redesign was approved by Council on 28th February and progress towards implementation is underway.</p> <p>These have been incorporated into the thinking in terms of the management redesign and a further action relating to external training in EU procurement interpretation has been instigated by the Chief Executive</p> <p>This is on the agenda</p>
<p>MINUTE 35</p> <p>RISK MANAGEMENT UPDATE REPORT</p> <ul style="list-style-type: none">▪ It had been agreed that a risk and opportunity workshop be undertaken with Cabinet and the Corporate Management Team in February/March to review the key corporate risks to achieving the Council's objectives and to update the key corporate risk register.	<p>A risk and opportunity workshop was undertaken with Cabinet and Corporate Management Team on 25 February 2013. Corporate risks are in the process of being articulated and agreed for further scoring and documentation of mitigation. These will then be used to update the corporate risk register.</p>

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<ul style="list-style-type: none"> A further suitable corporate risk would be identified at the next meeting for detailed consideration. 	<p>The Corporate Risk Management Group has identified 3 risk areas for the Committee to choose a corporate risk from for detailed consideration at the next meeting. These 3 risk areas are:</p> <ul style="list-style-type: none"> Strategic Leadership & Management Commissioning & Service Delivery Chains Public Health & Wellbeing Transition
<p>MINUTE 36</p> <p>EXTERNAL AUDIT UPDATE & AUDIT FEE LETTER 2012/13</p> <ul style="list-style-type: none"> A report on local governance review was to be submitted to the next meeting. 	<p>This is to be circulated to members and is referred to in the External Audit Plan report on the March Committee agenda.</p>
<p>MINUTE 37</p> <p>2012/13 STATEMENT OF ACCOUNTS - PROGRESS REPORT</p> <ul style="list-style-type: none"> A Final Accounts Workshop was to be provided by CIPFA Finance Advisory Network and Grant Thornton in February and two members of staff were due to attend. 	<p>This was attended by two members of the Corporate Finance team on 6th February 2013.</p>
<p>MINUTE 43</p> <p>WORK PLAN 2012/13</p> <ul style="list-style-type: none"> It was agreed that the following would be added to the agenda for the meeting in March 2013: <ul style="list-style-type: none"> Project Management Progress Report Single Legal Entity Training for Standards Assessments and Hearings 	<p>For reasons notified to members of the Committee, a report on the Single Legal Entity will not now be submitted to the Committee's meeting on 28th March 2013.</p> <p>The Interim Borough Solicitor is liaising with the Member Development Officer to organise scenario-based hearings training. Proposals will come before the Committee for consideration. The timing of this will be discussed with the Chairman.</p>